

**Who is Centara?**

Centara is a unique group of companies that work together to provide full service financial planning, money management, tax preparation, tax planning, real estate, and estate planning services. To properly provide the various services, Centara shares your financial and tax information among the Centara companies. Centara does not reveal confidential client financial information to any outside entity, for any purpose, without the client's express permission. The Centara group of companies consists of Centara Capital Management Group, Inc., Centara Capital Securities, Inc., Centara Real Estate Services, Inc. and Centara Legal Group, APC.

How is Centara Regulated?

Due to the variety of services provided, Centara falls under the umbrella of a number of both Self Regulatory Organizations (SROs) and government regulatory agencies. These include: The National Association of Securities Dealers (NASD); The Securities and Exchange Commission (SEC); The California Department of Real Estate; The American Mortgage Banker Lending Association (AMBLA); The California Department of Insurance; The California Bar Association; and The California Department of Corporations.

Centara's Commitment to Your Privacy.

At Centara, our most important asset is our relationship with you. We are committed to maintaining privacy of every current and prospective client. We recognize that you entrust important personal information with us and we take seriously our responsibilities in protecting and safeguarding this information.

The vast majority of Centara clients take advantage of the benefits that come from having a firm that offers integrated services. However, some clients may not wish to take advantage of other Centara services, and wish their financial information to be used only for one specific purpose. Please review the enclosed document entitled "Important Privacy Choices for Consumers" for details.

What is the "Important Privacy Choices for Consumers" document?

If you wish to allow the Centara companies to continue to work with your file, please sign and return the enclosed form. If you mark the first box, Centara will not have your authorization to work with Centara Capital Securities, Inc., Centara Real Estate Services, Inc. and Centara Legal Group, APC. This may affect Centara's ability to provide the client services he/she is currently receiving. Should you have any questions about Centara's privacy policy, or your privacy choices, please contact your Relationship Manager or visit our website at www.centaracapital.com. Centara's Privacy Statement protects your rights and is compliance with The California Financial Information Privacy Act.

What is the California Financial Information Privacy Act?

Senate Bill 1 is found in sections 4050-4060 of the California Financial Code and is commonly known as the California Financial Privacy Act. This Act gives California consumers the right to decide how their information will be used by financial institutions.

Important Privacy Choices for Consumers

You have the right to control whether we share some of your personal information. Please read the following information carefully before you make your choices below.

Your Rights

You have the following rights to restrict the sharing of personal and financial information with our affiliates (companies we own or control) and outside companies that we do business with. Nothing in this form prohibits the sharing of information necessary for us to follow the law, as permitted by law, or to give you the best service on your accounts with us. This includes sending you information about some other products or services.

Your Choices

Restrict Information Sharing With Companies We Own or Control (Affiliates): Unless you say "No," we may share personal and financial information about you with our affiliated companies.

☐ NO, please do not share personal and financial information with your affiliated companies.

Restrict Information Sharing With Other Companies We Do Business With To Provide Financial Products And Services: Unless you say "No," we may share personal and financial information about you with outside companies we contract with to provide financial products and services to you.

☐ NO, please do not share personal and financial information with outside companies you contract with to provide financial products and services.

Time Sensitive Reply

You may make your privacy choice(s) at any time. Your choice(s) marked here will remain unless you state otherwise. However, if we do not hear from you, we may share some of your information with affiliated companies and other companies with whom we have contracts to provide products and services.

Name: _____

Account Number(s): _____

Signature: _____

To exercise your choices:

Fill out, sign and send back this form to us using the envelope provided (you may want to make a copy for you records).